



Account Number	

### TRADING AUTHORIZATION FORM

For Corporations, Partnerships, Associations, Sole Proprietorships and Investment Clubs

ScotiaMcLeod Direct	Investing
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DATE	ACCOUNT NUMBER(S)
Client's Legal Name:	
<u> </u>	
Business/Trading Style:	
(if applicable)	
(ii applicable)	

### **Terms and Conditions for Electronic Trading**

"You" or "Your" refers to the client listed above. "We", "us" or "our" refers to ScotiaMcLeod Direct Investing and its assigns and successors.

By completing the appropriate Trading Authorization Form, you have authorized us to accept instructions from you, including instructions transmitted by electronic means (including automated telephone service, Internet or facsimile) which may or may not have your signature electronically transmitted. The instructions which you may give us include the purchase and sale of securities (not including facsimile), changing payment instructions on the account(s) listed above and any changes to non-financial data relating to the above account(s), so long as the change does not require supporting documentation.

We will not be liable for acting on any instructions received from a party who we, in good faith, believe to be you.

You may be required to sign additional agreements and documents in connection with any transaction you may ask us to act upon. We may send you, at our discretion, a confirmation that your instructions have been received and/or executed and any related agreements or documents.

We agree to execute your instructions in accordance with our normal procedures, although we may refuse to execute any instruction if we deem it inappropriate for any reason and we will have no liability to you on account of such refusal. We will take reasonable steps to inform you when we have determined that we will not execute any instruction. We may ask you to give us certain documents or other forms of evidence which will assist us in determining that you are the party giving the instructions. We will not be liable to you if we are unable to act upon your instructions for reasons beyond our control.

We may amend, at our discretion and without notice to you, the kinds of instructions that may be accepted. Our acceptance of your instructions is subject to receipt of appropriate authorization.

The undersigned have expressly requested that this Agreement and all deeds, documents or notices relating thereto be in the English language; les soussignés ont expressément exigé que cette convention et toute autre contrat, document ou avis afférent soient en langue anglaise.



Account Number			

## **Corporate Trading Authorization**

		NAME OF CORPORATION					
RESOLVED:	THAT an account in the name of the Corpo	THAT an account in the name of the Corporation be opened with ScotiaMcLeod Direct Investing;					
	AND THAT						
		NAMES OR TITLES OF OFFICERS/AUTHORIZED PERSONS					
	means in accordance with and subject to to options and any other securities and/or co	of the Corporation to buy, sell (including short sales) and trace the terms and conditions stated on the front of this document mmodities and/or contracts relating thereto, by exchange or ever that may be done by virtue of this authorization;	t) in stocks, bonds, debentures,				
	AND THAT all of the above-listed actions ca	arried out on behalf of the Corporation shall be valid and bindi	ng on the Corporation;				
		n in full force and effect until written notice of revocation office of ScotiaMcLeod Direct Investing or with its successors,					
I, the undersi	igned signatory, certify the above to be a	true copy of a resolution passed by the board of di	rectors of the Corporation				
on	, which reso	of true copy of a resolution passed by the board of displaying is in full force and effect, unamended, as of the date he	ereof.				
Investing does investment dec situation, invest Corporation. The undersigne soussignés ont	not provide recommendations to its clients and isions of the Corporation, as well as for any profestment knowledge, investment objectives and ed have expressly requested that this Agreement	leclared in the above resolution. The Corporation acknowled does not accept any responsibility to advise the Corporation rits or losses that may arise, and ScotiaMcLeod Direct Investing risk tolerance of the Corporation when processing orders pent and all deeds, documents or notices relating thereto be a autre contrat, document ou avis afférent soient en langue ar	on the suitability of any of the gwill not consider the financial placed for the account of the e in the English language; les				
Dated							
	WITNESS (IF NO CORPORATE SEAL)	SIGNATURE OF SECRETARY	(Corporate Seal)				
		NAME – PLEASE PRINT					
		DECLARATION WHEN NO CORPORATE SEAL EXISTS	S:				
		I hereby certify that the Corporation does not have a corpora	ate seal.				
		SECRETARY					

In addition, the identity of every officer/authorized person must be confirmed through the physical presentation of documents: Driver's License, Passport, Canadian Citizenship Card, or provincial Health Insurance Card (not acceptable in ON, MB, PEI. May be accepted if voluntarily provided in Quebec.)

\* Authorized persons who are U.S. Persons (U.S. or U.S. dual citizens) must complete CAW9 Request for Taxpayer Identification Number and Certification.

This information is requested under regulatory requirements of the Investment Dealers Association and the Proceeds of Crime Money Laundering Act.



Account Number		

### Partnership Account Agreement

We, the undersigned, authorize ScotiaMcLeod Direct Investing to open a partnership account in the name of:

#### PRINT FULL NAME OF PARTNERSHIP

a duly organized partnership, of which each of us is a general partner, and of which the undersigned are the sole partners. We jointly and severally authorize ScotiaMcLeod Direct Investing to act upon instructions issued by any one of us (each of us being fully authorized to act alone) as to the purchase and/or sale (including short sales) of stocks, bonds, debentures, coins, commodities, contracts for the future delivery thereof, commodity options and forward commodity and foreign exchange contracts and any other securities of whatsoever nature or kind on margin or otherwise, all in accordance with ScotiaMcLeod Direct Investing's terms and conditions.

We, the undersigned, do hereby bind ourselves jointly and severally for the due fulfillment of all the obligations of this agreement.

ScotiaMcLeod Direct Investing is hereby further authorized to deliver or release or transfer, from time to time to any one of us, any and all securities held to the credit of said account and to pay, from time to time, to any of us, monies held by ScotiaMcLeod Direct Investing to the credit of said account, and each of us likewise consents that confirmations and notices with reference to said account may be sent or given by ScotiaMcLeod Direct Investing to any one of us. Any one of us, acting alone, is fully authorized to make any commitments, agreements and/or modifications thereof, and enter into any transactions of any kind, with respect to this account.

Each of us agrees to sign all agreements as are required in connection with transactions for the said account, all of the terms and provisions of which agreements, in addition to the provisions hereof, shall be binding upon the partnership and upon each of us.

Each of us acknowledge that ScotiaMcLeod Direct Investing does not provide recommendations to its clients and does not accept any responsibility to advise us on the suitability of any of our investment decisions, as well as for any profits or losses that may arise, and ScotiaMcLeod Direct Investing will not consider our financial situation, investment knowledge, investment objectives and risk tolerance when processing orders placed for our account.

This agreement shall enure to the benefit of and be binding on ScotiaMcLeod Direct Investing and the undersigned and their respective successors, assigns and legal representatives.

The undersigned have expressly requested that this Agreement and all deeds, documents or notices relating thereto be in the English language; les soussignés ont expressément exigé que cette convention et toute autre contrat, document ou avis afférent soient en langue anglaise.

1. SIGNATURE OF PARTNER	PARTNER'S NAME (PLEASE PRINT)	DATE	SIN
CITIZENSHIP	DATE OF BIRTH	OCCUPATION	
	DATE OF BIRTH	OCCUPATION	
CANADIAN US* OTHER			
2. SIGNATURE OF PARTNER	PARTNER'S NAME (PLEASE PRINT)	DATE	SIN
CITIZENSHIP	DATE OF BIRTH	OCCUPATION	
CANADIAN US* OTHER			
3. SIGNATURE OF PARTNER	PARTNER'S NAME (PLEASE PRINT)	DATE	SIN
CITIZENSHIP	DATE OF BIRTH	OCCUPATION	
CANADIAN US* OTHER			
4. SIGNATURE OF PARTNER	PARTNER'S NAME (PLEASE PRINT)	DATE	SIN
CITIZENSHIP	DATE OF BIRTH	OCCUPATION	
CANADIAN US* OTHER			
5. SIGNATURE OF PARTNER	PARTNER'S NAME (PLEASE PRINT)	DATE	SIN
CITIZENSHIP	DATE OF BIRTH	OCCUPATION	
CANADIAN US* OTHER			
CANADIAN C 03 C OTHER			

Complete form CAW8IMY Certificate of Foreign Flow Through Entity (parts I, VI and VII) on behalf of the Partnership.

In addition, the identity of every partner must be confirmed through the physical presentation of documents: Driver's License, Passport, Canadian Citizenship Card, or provincial Health Insurance Card (not acceptable in ON, MB, PEI. May be accepted if voluntarily provided in Quebec.)

\* Partners who are U.S. Persons (U.S. or U.S. dual citizens) must complete CAW9 Request for Taxpayer Identification Number and Certification. (Where the above space is insufficient to indicate <u>all</u> Partners in the Partnership, add an appendix page to provide the required information and signatures.)

This information is requested under regulatory requirements of the Investment Dealers Association and the Proceeds of Crime Money Laundering Act.

Trademark used under authorization and control of The Bank of Nova Scotia. ScotiaMcLeod Direct Investing is a division of Scotia Capital Inc., Member of CIPF. ScotiaMcLeod Direct Investing does not provide advice or recommendations and investors are responsible for their own investment decisions.



Account Number		

# Foundations, Fraternal Organizations, Lodges, Religious Bodies and other Associations - Trading Authorization

RESOLUTION pa	ssed by	NA	NAME OF ORGANIZATION/ASSOCIATION		
RESOLVED:	THAT an account in the name	of	(the	"Association") be opened with	
	ScotiaMcLeod Direct Investing			, , , , , , , , , , , , , , , , , , , ,	
	AND THAT				
	he and is/are hereby authorize	d on behalf of the Associatio	names of authorized persons In to buy, sell (including short sales) and trac	de (includina through electronia	
	means in accordance with and any other securities and/or con Association and the Association AND THAT all the above-listed a	subject to the terms and cond mmodities and/or contracts re hereby ratifies and confirms a actions carried out on behalf of	itions stated on the front of this document) in itions stated on the front of this document) in itialiting thereto, by exchange or otherwise, I and whatsoever that may be done by virtue the Association shall be valid and binding on fect until written notice of revocation thereof	n stocks, bonds, debentures and or the account and risk of the of this authorization; the Association;	
	party on behalf of the Association	on with the Head Office of Sco	tiaMcLeod Direct Investing or with its successor	ors, assignees or administrators.	
I, the undersigne	ed signatory, certify this to be a true	copy of a resolution passed b	y the Association on	, which	
C30IUIIOII I3 III I	uli force and effect, dilamended, as	of the date hereof.	as the power and authority to invest or tra		
not provide rec decisions of the investment know The undersigned ont expressémen	ommendations to its clients and do Association, as well as for any pro wledge, investment objectives and ri- d has expressly requested that this A	oes not accept any responsibilities or losses that may arise, sk tolerance of the Association agreement and all deeds, docute autre contrat, document or	on. The Association acknowledges that Scot illity to advise the Association on the suital and ScotiaMcLeod Direct Investing will not a when processing orders placed for the accomments or notices relating thereto be in the Euravis afférent soient en langue anglaise.	collity of any of the investmen consider the financial situation ount of the Association.	
Dated					
	WITNESS		SIGNATURE OF SECRETARY OR OTHER	R AUTHORIZED SIGNATORY	
			NAME AND TITLE - PL	EASE PRINT	
			POSITION OR OI	FICE	
Divoct	Investing				
Direct	Investing		Account Number		
Sec	otiaMcLeod				
<b>300</b>	ClaivicLeoa	Sole Prop	rietorship		
	тм	(if client is a	sole proprietorship and is not in	ncorporated)	
ScotiaMcLeod D	Pirect Investing is hereby requested to	o operate an account in the n	ame of		
				(the "Account")	
and documents undersigned also name of the undersigned and any other control linesting does rinvestment decision, investration,	executed by the undersigned, the backnowledges personal responsibilition dersigned. The undersigned also here ommunication relating to the Account provide recommendations to its sions of the undersigned, as well as ment knowledge, investment objectived has expressly requested that this A	e undersigned remains bound ty for the Account as if it were eby directs ScotiaMcLeod Dire ant to the undersigned at the clients and does not accept for any profits or losses that es and risk tolerance of the un agreement and all deeds, docu	e account of the undersigned and that with I by the terms and conditions of same wit entered and carried on the books of ScotiaM at Investing to send all notices of purchases a following address. The undersigned acknowl any responsibility to advise the undersigned may arise, and ScotiaMcLeod Direct Investing dersigned when processing orders placed for imments or notices relating thereto be in the Euravis afférent soient en langue anglaise.	h respect to the Account. The cleod Direct Investing under the nd sales, statements of accouncedges that ScotiaMcLeod Directon the suitability of any of the gwill not consider the financiathe account of the undersigned inglish language; les soussignés	
			WITNESS		



Account Number		
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# **Investment Club Agreement**

The undersigned hereby authorize Scot	tiaMcLeod Direct Investing to open an ac	count for them to be known a	S:
	PRINT FULL NAME OF	INVESTMENT CLUB	
The undersigned hereby authorize	р	OrOR	
(whose signature(s) appears below) as t and/or commodities and/or contracts re and risk and in the undersigned's name indemnify and hold ScotiaMcLeod Diall losses arising therefrom or debit ScotiaMcLeod Direct Investing is auth account with ScotiaMcLeod Direct Investing is auth account with ScotiaMcLeod Direct Investing aforesaid agent(s) and attorney(s)-in-fact force and effect as the undersigned mig The undersigned hereby ratify and confor the undersigned's account. This auth agreement or agreements between the The undersigned acknowledge that ScotiaMcLeod Direct Investing will not concessing orders placed for the account This authorization and indemnity is bir revoked by the undersigned by a writter or mental incompetence of any of the liability in any way resulting from transpirect Investing, its successors and assig ScotiaMcLeod Direct Investing. The undersigned have expressly requesting the successors and assig ScotiaMcLeod Direct Investing.	their agent(s) and attorney(s)-in-fact to bue elating to the same on margin or otherwise(s) or number(s) on ScotiaMcLeod Direct Direct Investing, its directors, officers a balance due thereon.  Torized to follow the instructions of eitheresting, and make deliveries of securities are well as in all other things necessary or inct is/are authorized to act for the undersignt or could do.  Firm any and all transactions with ScotiaM norization and indemnity is in addition to undersigned and ScotiaMcLeod Direct InvotiaMcLeod Direct InvotiaMcLeod Direct InvotiaMcLeod Direct Invotiam decisions consider the financial situation, investment of the undersigned.  In notice addressed and delivered to Scotiam on the undersigned and their estate and the involved and their estate and the involved and the involved and the involved and the involved and their estate and the undersigned and their estate and the involved and the involved and the involved and the involved and their estate and their es	PRINT NAMES OF AUTHORIZED AGENTS 129, sell (including short sales) and rise in accordance with the terrish in a coordance with the terrish in a coordance with the terrish in a coordance with the terrish and employees harmless frow the above named agents and payment of monies to him (incidental to the furtherance or igned and in the undersigned and in the undersigned (and in no way limits or restricts vesting). The provide recommendations to its coordinate of the undersigned, as well as the knowledge, investment object the and is also a continuing or amcLeod Direct Investing at Torecod Direct Investing of notice in. This authorization and indemens and legal personal represent cuments or notices relating theres.	and trade in stocks, bonds and any other securities and conditions for the undersigned's accoursigned hereby jointly and severally agree to mand to pay promptly on demand any and is in every respect concerning the undersigned (them) or as he (they) may order and direct. In a conduct of the account of the undersigned, this behalf in the same manner and with the same or eor hereafter made by the aforesaid agent(s) of any rights which you may have under any other clients and does not accept any responsibility that for any profits or losses that may arise, and tives and risk tolerance of the undersigned when the and shall remain in full force and effect unfronto, Ontario, and shall continue after the deat thereof but such revocation shall not affect and attives of the directors, officers and employees of the be in the English language; les soussignés or
	on et toute autre contrat, document ou av		
SIGNATURE OF AUTHORIZED AGENT (AS NAMED A	ABOVE)	DATE	SIN
CITIZENSHIP	DATE OF BIRTH	OCCUPATION	
CANADIAN US* OTHER			
SIGNATURE OF AUTHORIZED AGENT (AS NAMED A	ABOVE)	DATE	SIN
CITIZENSHIP	DATE OF BIRTH	OCCUPATION	
CANADIAN US* OTHER			
SIGNATURE OF CLUB MEMBER	MEMBER'S NAME (PLEASE PRINT)	DATE	SIN
0.000.000.000			
CITIZENSHIP  CANADIAN US* OTHER	DATE OF BIRTH	OCCUPATION	
SIGNATURE OF CLUB MEMBER	MEMBER'S NAME (PLEASE PRINT)	DATE	SIN
CITIZENSHIP	DATE OF BIRTH	OCCUPATION	
CANADIAN US* OTHER			
SIGNATURE OF CLUB MEMBER	MEMBER'S NAME (PLEASE PRINT)	DATE	SIN
CITIZENSHIP	DATE OF BIRTH	OCCUPATION	
CANADIAN US* OTHER			
In addition, the identity of every Canadian Citizenship Card, or provi * Members who are U.S. Persons (U	ncial Health Insurance Card (not acce .S. or U.S. dual citizens) must complet	h the physical presentation ptable in ON, MB, PEI. Accepte CAW9 Request for Taxpay	of the Investment Club.  In of documents: Driver's License, Passpore of the first of the control
			DATE  rovide the required information and signatures.)  Deceds of Crime Money Laundering Act.

### Instructions for the Completion of the Trading Authorization Form

SCOTIAMcLEOD DIRECT INVESTING Trading Authorization Form (TAF) for Non Personal Accounts is to be used when opening accounts for non personal clients such as:

- I. Corporations
- II. General Partnerships
- III. Foundations, Fraternal Organizations, Lodges, Religious Bodies or other Associations
- IV. Sole Proprietorships
- V. Investment Clubs

When completing the TAF, ensure that the **Client Legal Name** is recorded exactly as it appears on the document(s) evidencing incorporation, organization or business registration. A copy of the Articles of Incorporation must be submitted for a corporate account together with a certified list of directors. A copy of the business registration must be submitted for a partnership.

If a corporation, proprietorship or partnership carries on a business or identifies itself to the public under a name other than the "corporate" or "legal" name (i.e., a registered name), the registered name must be set out in the **Business/Trading Style** line.

Where specified officers (Director, Secretary etc.) are **authorized**, a list of officers must be attached and will be considered to be current. The Secretary of the company, as stated in the TAF, will have an obligation to advise ScotiaMcLeod Direct Investing of any change.

The TAF is signed as follows:

### a) Corporations:

The Secretary. If the Corporation does not have a corporate seal, the Secretary must also sign the certificate declaring that the Corporation has no seal.

### b) General Partnerships:

All of the Partners

#### c) Foundations, Lodges, Fraternal Organizations, Religious Bodies and other Associations:

The authorized Signatory

### d) Sole Proprietorships:

The Individual.

#### e) Investment Clubs:

All of the Members. An authorized individual must also sign the certificate confirming that all of the Members have signed the TAF.

