
SCOTIABANK'S KNOW YOUR CUSTOMER INFORMATION

1. Name of Institution **SCOTIABANK**
2. Registered name: **THE BANK OF NOVA SCOTIA**
3. Where is the principal place of business? **The Head Office is located at:
44 King Street West, Toronto, Ontario, Canada, M5H 1H1**
4. Where was the institution's place of registration? **1709 Hollis Street, Halifax, Nova Scotia, Canada, B3J 3B7**
5. Licence No/Date/Regulator:

The Bank of Nova Scotia (Scotiabank) was incorporated by the Legislative Council and Assembly of the British Colony of Nova Scotia in 1832. In 1867 all banks in Canada came under the jurisdiction of the laws of the Federal Government of Canada subject to the Bank Act, as periodically revised. The Bank's Certificate of Incorporation is a matter of record.

Scotiabank is:

- a bank chartered under the Bank Act (Canada): <http://laws.justice.gc.ca/PDF/B-1.01.pdf>
- listed in Schedule I of the Bank Act (Canada): <http://laws.justice.gc.ca/eng/acts/B-1.01/page-158.html>, and
- regulated by the Office of the Superintendent of Financial Institutions (OSFI): <http://www.osfi-bsif.gc.ca/Eng/wt-ow/Pages/wwr-er.aspx>

6. Ownership:

The Bank of Nova Scotia (Scotiabank) is widely held and no person or entity, together with entities controlled by them, may own more than 10% of any class of Scotiabank's voting shares and with the approval of the Minister of Finance, up to 20% of its preferred shares.

As of October 31, 2016 no person or entity held more than 10% of any class of Scotiabank's shares.

- Common shares of Scotiabank are listed on the Toronto and New York Stock Exchanges under the ticker symbol BNS, CUSIP No. 064149 10 7.
- Series 16, Series 17, Series 18, Series 19, Series 20, Series 21, Series 22, Series 23, Series 30, Series 31, Series 32, Series 33, Series 34, Series 36 and Series 38 preferred shares of Scotiabank are listed on the Toronto Stock Exchange.

7. Number of Branches (local/foreign):

The Bank of Nova Scotia (Scotiabank) has an extensive global presence covering 50 countries. For more information please refer to Scotiabank's website, <http://www.scotiabank.com> and access the Worldwide Directory to obtain details on all subsidiaries, foreign branches, and offices including addresses, number of branches and services offered.

