

**Declaration of Beneficial Ownership and Director(s)  
in a Corporation or Similar Entity**

Account number

Rep Code

Account Name:

**We confirm that our account is for a** ☐ Corporation ☐ Personal Holding Company ☐ Partnership ☐ Limited Partnership ☐ Investment Club  
☐ Other (describe here): \_\_\_\_\_

**Any natural person or entity with a greater than 10% direct or indirect ownership interest in this account, must complete page 1 and page 2 and sign and date the certification on page 2.**

**PART 2 MUST BE COMPLETED FOR THE DIRECTORS OF THE CORPORATION.**

Note: This is only completed for directors not listed on page 2.

**PART 1**

**1** Identify each **individual** holding a greater than **10% ownership interest** in your account:

1. Enter name of <b>individual</b> here:	Class	%	Complete information for individual on <b>Page 2</b>
2. Enter name of <b>individual</b> here:	Class	%	Complete information for individual on <b>Page 2</b>
3. Enter name of <b>individual</b> here:	Class	%	Complete information for individual on <b>Page 2</b>

The requirement is to identify all individuals who have a greater than 10% **direct or indirect** ownership interest in your account. This means looking through all corporate or similar owners of your entity. For complex ownership structures, attach an ownership diagram if available.

Please review the **direct and indirect** ownership examples in the Instructions for Completing Forms CA100B and CA100T.

**2** Identify each **entity and trust** holding a greater than **10% ownership interest** in your account:

1. Enter name of <b>entity</b> here:	Class	%	Complete information for individuals on <b>Page 2</b>
2. Enter name of <b>entity</b> here:	Class	%	Complete information for individuals on <b>Page 2</b>
3. Enter name of <b>entity</b> here:	Class	%	Complete information for individuals on <b>Page 2</b>

**3** Identify each **entity and trust** holding an ownership interest in any entity named in section **2** that would result in this organization having a greater than **10% ownership interest** in your account:

1. Insert reference # in <b>2</b> _____ above and enter name of <b>entity</b> here:	Class	%	Complete information for individuals on <b>Page 2</b>
2. Insert reference # in <b>2</b> _____ above and enter name of <b>entity</b> here:	Class	%	Complete information for individuals on <b>Page 2</b>
3. Insert reference # in <b>2</b> _____ above and enter name of <b>entity</b> here:	Class	%	Complete information for individuals on <b>Page 2</b>

**PART 2**

**Provide the name and occupation of all directors not indicated on page 2.**

Name of Director

Occupation

_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

**Where there are more owners or directors than will fit in the above space, append additional CA100 forms.**

# Declaration of Beneficial Ownership and Director(s) in a Corporation or Similar Entity



Individuals that hold a greater than **10% direct or indirect ownership interest** in your account.

Page 2 of 2

1. First name and middle initial:				Last name:	
Home address:					
City:	Province:	Postal Code:	Citizen of <input type="checkbox"/> CAN <input type="checkbox"/> USA <sup>2</sup> <input type="checkbox"/> Other -	Occupation:	
Is an evidence document <sup>1</sup> for this individual attached? <input type="checkbox"/> NO <input type="checkbox"/> YES - Describe document type:				Reference no:	
Employer:					
Name this entity, class of shares or other ownership interest and % held.					
Name of entity:		Class or other ownership interest:		Interest %	
Are you a <input type="checkbox"/> deemed insider or <input type="checkbox"/> controlling shareholder of a publicly traded corporation or other entity that is publicly traded? <input type="checkbox"/> No <input type="checkbox"/> Yes - If yes, enter the company names here:				Director of legal entity: <input type="checkbox"/> No <input type="checkbox"/> Yes	
2. First name and middle initial:				Last name:	
Home address:					
City:	Province:	Postal Code:	Citizen of <input type="checkbox"/> CAN <input type="checkbox"/> USA <sup>2</sup> <input type="checkbox"/> Other -	Occupation:	
Is an evidence document <sup>1</sup> for this individual attached? <input type="checkbox"/> NO <input type="checkbox"/> YES - Describe document type:				Reference no:	
Employer:					
Name this entity, class of shares or other ownership interest and % held.					
Name of entity:		Class or other ownership interest:		Interest %	
Are you a <input type="checkbox"/> deemed insider or <input type="checkbox"/> controlling shareholder of a publicly traded corporation or other entity that is publicly traded? <input type="checkbox"/> No <input type="checkbox"/> Yes - If yes, enter the company names here:				Director of legal entity: <input type="checkbox"/> No <input type="checkbox"/> Yes	
3. First name and middle initial:				Last name:	
Home address:					
City:	Province:	Postal Code:	Citizen of <input type="checkbox"/> CAN <input type="checkbox"/> USA <sup>2</sup> <input type="checkbox"/> Other -	Occupation:	
Is an evidence document <sup>1</sup> for this individual attached? <input type="checkbox"/> NO <input type="checkbox"/> YES - Describe document type:				Reference no:	
Employer:					
Name this entity, class of shares or other ownership interest and % held.					
Name of entity:		Class or other ownership interest:		Interest %	
Are you a <input type="checkbox"/> deemed insider or <input type="checkbox"/> controlling shareholder of a publicly traded corporation or other entity that is publicly traded? <input type="checkbox"/> No <input type="checkbox"/> Yes - If yes, enter the company names here:				Director of legal entity: <input type="checkbox"/> No <input type="checkbox"/> Yes	
4. First name and middle initial:				Last name:	
Home address:					
City:	Province:	Postal Code:	Citizen of <input type="checkbox"/> CAN <input type="checkbox"/> USA <sup>2</sup> <input type="checkbox"/> Other -	Occupation:	
Is an evidence document <sup>1</sup> for this individual attached? <input type="checkbox"/> NO <input type="checkbox"/> YES - Describe document type:				Reference no:	
Employer:					
Name this entity, class of shares or other ownership interest and % held.					
Name of entity:		Class or other ownership interest:		Interest %	
Are you a <input type="checkbox"/> deemed insider or <input type="checkbox"/> controlling shareholder of a publicly traded corporation or other entity that is publicly traded? <input type="checkbox"/> No <input type="checkbox"/> Yes - If yes, enter the company names here:				Director of legal entity: <input type="checkbox"/> No <input type="checkbox"/> Yes	
5. First name and middle initial:				Last name:	
Home address:					
City:	Province:	Postal Code:	Citizen of <input type="checkbox"/> CAN <input type="checkbox"/> USA <sup>2</sup> <input type="checkbox"/> Other -	Occupation:	
Is an evidence document <sup>1</sup> for this individual attached? <input type="checkbox"/> NO <input type="checkbox"/> YES - Describe document type:				Reference no:	
Employer:					
Name this entity, class of shares or other ownership interest and % held.					
Name of entity:		Class or other ownership interest:		Interest %	
Are you a <input type="checkbox"/> deemed insider or <input type="checkbox"/> controlling shareholder of a publicly traded corporation or other entity that is publicly traded? <input type="checkbox"/> No <input type="checkbox"/> Yes - If yes, enter the company names here:				Director of legal entity: <input type="checkbox"/> No <input type="checkbox"/> Yes	

<sup>1</sup> Attach or forward evidence documents along with a photocopy of CA100B. Where there are more owners or directors than will fit in above space, append additional CA100B forms.

<sup>2</sup> Individuals above who are also U.S. Persons (U.S. or U.S. dual citizens) must complete CAW9 Request for Taxpayer Identification Number and Certification. The undersigned certify that the above is a full and complete disclosure of information with respect to all director(s), and all natural persons holding a greater than 10% ownership interest, directly or indirectly in the above named account. We agree to provide the required verification documents for this entity and the personal identity documents for authorized persons and individual beneficial owners, as required under current legislation and regulations, including U.S. Withholding Tax Regulations. We will maintain accurate up-to-date information respecting all beneficial owners and director(s) by notifying Scotia Capital Inc. of material changes. We also agree to provide confirmation and up-to-date information about beneficial owners as requested by a securities regulator or external auditor of Scotia Capital Inc.

Authorized person for this account - print name of officer	Print your title: CEO, President, Secretary etc.	Signature	Date (MM/DD/YYYY)
		BM approval	Date (MM/DD/YYYY)