



Scotia Capital Inc.

ScotiaMcLeod Direct Investing  
TradeFreedom

  

**EXCHANGE OF SECURITIES/CASH  
(SWAP)**

**Between a Registered Account and a Cash/Margin Account  
or another Registered Account**

**SWAP** Definition: a swap is the exchange of **one** security for cash between same party accounts. When the swap is processed, the system will use the price of the security valued at the close of the previous business day.

Client Name:

Please accept this fax as my authorization to swap the following security/securities:

From Account (Sell):

To Account (Buy):

Number of shares

(including fractional shares  
for Mutual Funds)

Security Description

From Account (Sell):

To Account (Buy):

Number of shares

(including fractional shares  
for Mutual Funds)

Security Description

**There is a \$35.00 swap fee per security. The simple or compound accrued interest for any interest bearing security will be calculated by the system.**

**Note:** A swap of a security into a Registered Account is considered a deemed disposition. Gains must be reported, losses cannot be claimed.

\_\_\_\_\_  
CLIENT SIGNATURE

\_\_\_\_\_  
DATE

ScotiaMcLeod Direct Investing

**Fax: (416) 933-2735** or **Mailing Address:** ScotiaMcLeod Direct Investing  
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TradeFreedom

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Montreal, QC H3A 1G1

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