



Declaration of Beneficial Ownership in a Corporation or Similar Entity

This declaration is required under regulation 1300.1 of the Investment Dealers Association of Canada

Account number

Advisor Code

Account Name:

We confirm that our account is for a Corporation Personal Holding Company Partnership Limited Partnership Investment Club
 Other (describe here): _____

Does any natural person or entity have a greater than 10% direct or indirect ownership interest in this account?

Please respond by checking one only of the following three declarations - (a), (b) or (c):

- (a) **NO** - If no, please check here and sign and date the certification below.
 (b) **YES** - Ownership interests greater than 10% are held by **INDIVIDUALS ONLY**. If yes, check here.
Also complete the detailed information for INDIVIDUALS. Please sign and date the certification below.

NOTE: The regulatory requirement is to identify all individuals who have a greater than 10% direct or indirect ownership interest in the account.

This means looking through corporate or similar owners of your entity and assigning ownership interests to all share classes.

- (c) **YES** - Ownership interests greater than 10% are held by **INDIVIDUALS and/or OTHER LEGAL ENTITIES**.
 If yes, check here. Where you have responded to this declaration, we will forward additional required documentation to you.
 Please sign and date the certification below. For complex ownership structures, please attach a diagram if available.

INDIVIDUALS for (b) above holding a greater than 10% direct or indirect ownership interest in this account

1. First name and middle initial:			Last name:	
Home address:				
City:	Province:	Postal Code:	Citizen of <input type="checkbox"/> CAN <input type="checkbox"/> USA ² <input type="checkbox"/> Other -	Ownership Interest %
¹ Evidence attached? <input type="checkbox"/> NO <input type="checkbox"/> YES - List Document Type: _____ reference no: _____			Occupation:	Employer:
Describe class of shares held or other ownership interest in the above entity:				
Are you a <input type="checkbox"/> deemed insider or <input type="checkbox"/> controlling shareholder of a publicly traded corporation or other entity? <input type="checkbox"/> No <input type="checkbox"/> Yes - If yes, enter the company names here:				
2. First name and middle initial:			Last name:	
Home address:				
City:	Province:	Postal Code:	Citizen of <input type="checkbox"/> CAN <input type="checkbox"/> USA ² <input type="checkbox"/> Other -	Ownership Interest %
¹ Evidence attached? <input type="checkbox"/> NO <input type="checkbox"/> YES - List Document Type: _____ reference no: _____			Occupation:	Employer:
Describe class of shares held or other ownership interest in the above entity:				
Are you a <input type="checkbox"/> deemed insider or <input type="checkbox"/> controlling shareholder of a publicly traded corporation or other entity? <input type="checkbox"/> No <input type="checkbox"/> Yes - If yes, enter the company names here:				
3. First name and middle initial:			Last name:	
Home address:				
City:	Province:	Postal Code:	Citizen of <input type="checkbox"/> CAN <input type="checkbox"/> USA ² <input type="checkbox"/> Other -	Ownership Interest %
¹ Evidence attached? <input type="checkbox"/> NO <input type="checkbox"/> YES - List Document Type: _____ reference no: _____			Occupation:	Employer:
Describe class of shares held or other ownership interest in the above entity:				
Are you a <input type="checkbox"/> deemed insider or <input type="checkbox"/> controlling shareholder of a publicly traded corporation or other entity? <input type="checkbox"/> No <input type="checkbox"/> Yes - If yes, enter the company names here:				

¹ Attach or forward evidence documents along with a photocopy of CA100A. Where more than 3 owners individually each hold greater than 10%, append additional CA100 forms.

The undersigned certify that the above is a full and complete disclosure of information with respect to all natural persons holding a greater than 10% ownership interest, directly or indirectly in the above named account. We agree to provide the required verification documents for this entity and the personal identity documents for authorized persons and individual beneficial owners, as required under current legislation and regulations, including U.S. Withholding Tax Regulations. We will maintain accurate up-to-date information respecting all beneficial owners by notifying Scotia Capital Inc. of material changes to individuals holding a greater than 10% ownership interest in this entity. We also agree to provide confirmation and up-to-date information about beneficial owners as requested by a securities regulator or external auditor of Scotia Capital Inc.

Authorized person for this account - print name of officer	Print your title: CEO, President, Secretary etc.	Signature	Date (MM/DD/YYYY)
Advisor approval	BM approval	RM approval	Date (MM/DD/YYYY)

² Individuals above who are also U.S. Persons (U.S. or U.S. dual citizens) must complete CAW9 Request for Taxpayer Identification Number and Certification.
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 ScotiaMcLeod Direct Investing does not provide advice or recommendations and investors are responsible for their own investment decisions.
³ Registered trademark of the Bank of Nova Scotia.