



Please note: The data entered on this form may be scanned and stored electronically. Please print neatly in the spaces provided to ensure completeness, accuracy and machine readability.

Client Identification

Last Name(s) or Non-Personal Name		First Name(s)		Initial
Address		City	Province	Postal Code
Social Insurance Number(s)		Home Telephone Number	Business Telephone Number	

Receiving Institution Information

Scotiabank (Domestic Retail) Branches Scotia Securities Inc. Dealer #: 7689

Receiving Address: Transit _____
 (Scotiabank, ScotiaMcLeod, Scotiastrust branches and Scotia Securities Inc.).

Contact Phone No.: _____

Scotiastrust
 40 King St. West, Transit 81398
 P.O. Box 4085, Station A,
 Scotia Plaza, Toronto, ON M5W 2X6
 FINS #: T525 CUID #: BNSC
 DTC #: 4816 Intermediary code: BNSG

Assets for accounts managed by **Scotia Cassels Investment Counsel Limited** must be delivered/made payable to Scotiastrust.

Client Account/Policy Number

Group Plan Number (if applicable)

Agent Number (if applicable)

Advisor/Relationship Manager/MFR Name

Rep. Code (if applicable)

Dealer Account Number (ScotiaMcLeod)

Scotia Capital Inc.

ScotiaMcLeod
 40 King St. West,
 Transit 81398
 P.O. Box 4085, Station A,
 Scotia Plaza
 Toronto, ON, M5W 2X6

Trade Freedom
 Transit 13938
 P.O. Box 603
 Toronto, ON, M1K 5C5

Dealer Code: 9155
 CLEAR STREAM #: 52065
 FINS #: T085
 DTC #: 5011
 CUID #: SCOT

Client Direction to Relinquishing Institution

- GICs
 Mutual Funds
 Other

Relinquishing Institution	Contact
Address	Telephone Number

This is my authorization to deliver to the Receiving Institution, the account you hold for me and for the Receiving Institution to receive the account. This includes all securities long and short and debit or credit balances. Delivery is to be made by the Receiving Institution of all securities short against payment. These instructions are given subject to the Receiving Institution's approval of my account.

Account Number at Relinquishing Institution _____

All Cdn \$ only US \$ only Other

Transfer: (check one box only) All in Kind (as is) All in Cash* Cash balance only as at date of transfer by Relinquishing Institution All assets*, but mixed in cash and in-kind; see list below or check here if list attached Partial*; see list below or check here if list attached

<i>*Please refer to statement in bold in Client Authorization section below:</i>				FOR USE BY RELINQUISHING INSTITUTION
In Kind <input type="checkbox"/>	In Cash <input type="checkbox"/>	Investment Amount/Maturity Date	Symbol and/or Certificate No. or Policy Number	DELAY DELIVERY UNTIL
Shares/Unit <input type="checkbox"/>	Dollars <input type="checkbox"/>	Investment Description		
In Kind <input type="checkbox"/>	In Cash <input type="checkbox"/>	Investment Amount/Maturity Date	Symbol and/or Certificate No. or Policy Number	DELAY DELIVERY UNTIL
Shares/Unit <input type="checkbox"/>	Dollars <input type="checkbox"/>	Investment Description		

Client Authorization

Please note: We require a copy of your most recent statement.

I hereby request the transfer of my account and its investments as described above.
Please cancel all open orders (G.T.C./SWF/PAC/AWD/SWP, etc.) for my account on your books.
 * Where I have requested a transfer in cash, I authorize the liquidation of all or part of my investments, as indicated.
 I agree to pay any applicable fees, charges or adjustments as per disclosure related to this transfer.
Upon completion of this form, forward to applicable address in the receiving institution section above.

I have read the disclosure on reverse and authorize transfer as above.

Signature of Account Holder _____ Date _____

Signature of Account Holder (if Joint) _____ Date _____

SIGNATURE GUARANTEED

The Bank of Nova Scotia Scotia Capital Inc.
 Scotia Securities Inc. The Bank of Nova Scotia Trust Company

PER: _____

ACCOUNT TRANSFER CLIENT DISCLOSURE

In our business we are dedicated to continually improving client service. This Client Disclosure document was developed to help you understand the account transfer process. It is important to read this document carefully before signing the section called CLIENT AUTHORIZATION on this Account Transfer form. Should you have any questions after reading this section, please be sure to address your inquiries to your Receiving Institution's Representative.

What is the difference between "IN CASH" and "IN KIND"?

"IN CASH" means that all your assets which are not currently held as cash are to be liquidated, sold, redeemed, etc. in order that your account can be transferred to the Receiving Institution in the form of cash.

IT IS IMPORTANT TO NOTE THAT IF YOU HAVE INDICATED AN "IN CASH" TRANSFER OF YOUR ACCOUNT, ALL TRADES WILL BE EXECUTED AT MARKET. ALL TRADES WILL BE PLACED ON A BEST EFFORTS BASIS SUBSEQUENT TO THE RECEIPT OF THE TRANSFER FORM AND ARE SUBJECT TO NORMAL COMMISSION CHARGES. IN ORDER TO AVOID DELAYS, YOU MAY PLACE TRADES YOURSELF WITH THE RELINQUISHING INSTITUTION AT THE TIME OF SIGNING THIS TRANSFER FORM.

"IN KIND" means that you want the assets in the account transferred, as is. If you hold investments and a cash balance, then the investments will be transferred as well as the cash balance in their current state, if the assets can be transferred.

How long will my transfer take?

The time required to transfer the account will depend on the type of asset you are transferring. Please note that all your assets may not be transferred at the same time.

Types of Investment Products

For Stocks and Bonds

Under current IDA guidelines, this type of transfer generally takes between 10 - 25 business days from the time of receipt by the Relinquishing Institution, subject to the exceptions below. Non-IDA member institutions may or may not observe similar guidelines.

For Mutual Funds:

Mutual Funds from other financial institutions (ATON) take 5 - 10 business days from the time all necessary documentation is received by the Receiving Institution.

For Guaranteed Income Certificates (GICs):

Often a Guaranteed Investment Certificate (including Term Deposits) is not transferable "IN KIND" (as is) prior to its maturity. Most GICs can be transferred in cash on their maturity. There are some exceptions, please check the terms and conditions with the Institution which issued your GIC.

Please note: Most Scotiabank Group issued GICs are readily transferrable "IN KIND" within the Group.

Other Investments:

There are many other investments which may be non-transferable, non-redeemable or delay the transfer of your account. These investments include mortgages, foreign securities and non-transferable bonds.

Rejected Transfers:

An account transfer request may be rejected by the Relinquishing Institution for a number of reasons, such as, insufficient cash to cover fees, account not in good standing, (i.e. under-margin, outstanding short position). If your transfer has been rejected for any reason by the Relinquishing Institution, they may return the transfer to the Receiving Institution unprocessed. When the reason for a rejection has been rectified, the transfer process will begin again and the Relinquishing Institution may then have 10 - 25 business days, from the date of receipt of the transfer documents to process the transfer.

How much will it cost to transfer my account?

Transfer Fees:

Many relinquishing institutions charge a fee, the cost of which may vary.

Glossary:

FINs = Financial Institution Number
DTC = Depository Trust Company
PAC = Pre-Authorized Chequing
SWF = Systematic Withdrawal Funds
G.T.C. = Good Till Cancelled
CUID = Customer Unit Identifier
IDA = Investment Dealers Association
SWP = Systematic Withdrawal Plan
AWD = Automatic Withdrawal Plan

Contact the following for Customer Service

ScotiaMcLeod	Contact your Investment Advisor
TradeFreedom	Contact Telephone Number 1 866 837-3336
Scotiabank	Contact your local Scotiabank office
Scotiabank/Scotia Securities Inc.	Contact your local Scotiabank branch